

# The Middle East in Modern World History Second Edition

Ernest Tucker

## The Middle East in Modern World History

*The Middle East in Modern World History* examines how global trends over the last 200 years have shaped the Middle East and how these trends were affected by the region's development.

Covering a key period in the history of the Middle East, this book highlights three major trends within the region's development over the past two centuries: the role of the region as a strategic conduit between East and West, the development of the region's natural resources, especially oil, and the impact of a rapidly globalizing world economy on the Middle East.

This new edition extends coverage to the present day and includes more thematic and interpretive discussion on the impact of global migration and the evolution of the roles of women. It also provides more theoretical insights into current historical research and recent developments in the region, firmly placing these developments within their historical context.

Clearly written and supported throughout by maps, images, discussion questions, and suggestions for further reading, as well as including a comprehensive chronology and glossary that enable readers to develop a clearer picture of political, economic, social, and cultural life within the region, *The Middle East in Modern World History* is the perfect textbook for all students of the history of the modern Middle East within a global context.

**Ernest Tucker** is professor at the United States Naval Academy in Annapolis, Maryland, USA, where he teaches courses on Middle Eastern and Central Asian history. He has published a collection of texts related to nineteenth-century Muslim leader Imam Shamil as well as a study of the eighteenth-century Iranian monarch Nadir Shah. Tucker has also contributed to numerous encyclopedias and dictionaries, as well as publications such as the *Middle East Journal* and *Iranian Studies*.

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## The Middle East in Modern World History

**Second Edition** 

**Ernest Tucker** 



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Typeset in Garamond by Integra Software Services Pvt. Ltd. Dedication

I dedicate this book to my mother, Carlyn Collins, and my father, Ernest Tucker. This page intentionally left blank

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### PREFACE

This text provides an introduction to the modern history of the Middle East. The term "Middle East" will be used to refer to the region of the world between the Nile River in Africa and the Oxus (Amu Darya) River in Central Asia (from west to east), and between the Balkans (in southeastern Europe) and the Indian Ocean (from north to south). The book focuses on the history of this region over the past 200 years.

This was a period in which the Middle East regained its traditional importance as a strategic conduit between East and West. It was also when the region's riches in natural resources, particularly petroleum, were discovered. Finally, the region became more and more closely linked to the world economy at this time through global trade networks that fed the Industrial Revolution. Although the Industrial Revolution had its earliest impact on Europe, it profoundly affected the rest of the world as well. This work will examine how broad global trends during this period both shaped the modern Middle East and were shaped by it.

The title of this book reflects the enormous changes in the world over the past two centuries. The phrase "Middle East" did not originate in the Middle East, but translations of it are widely used in various Middle Eastern countries today. It began as a description of how the region fits into the modern world, making it useful and appropriate for this text. "Middle East" was coined in the early 1900s to describe how this region fit into the larger strategic context of Asia.

In particular, it was used to discuss the Great Game: the nineteenth-century competition between Britain and Russia for predominance in Asia. Alfred Thayer Mahan, an American naval historian, called the area surrounding the Persian Gulf the "Middle East."<sup>1</sup> When his article on this subject was reprinted in the London *Times*, it was soon followed by a series of 20 essays by another analyst, Valentine Chirol, compiled into a 1903 book entitled *The Middle Eastern Question*. This publication helped popularize the term quite rapidly.<sup>2</sup> The earlier phrase for the region between Egypt and Central Asia had been the "Near East," which, in contemporary academic circles, has become a way to refer specifically to the pre-Islamic era of Middle Eastern civilizations.

The title of this book is designed as a reminder that events that took place in the Middle East, particularly in modern times, often were closely linked to what was happening across the globe in other regions. This work will explore those links, as well as things that set the Middle East apart, to encourage investigation of the history of this key area of the world.

#### Notes

<sup>1</sup> A. T. Mahan, "The Persian Gulf and International Relations," *National Review* (September 1902): 27–45.

<sup>2</sup> Valentine Chirol, *The Middle Eastern Question or Some Political Problems of Indian Defence* (New York: Dutton, 1903).

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## CHRONOLOGY

Note: All dates are Common Era, except BCE = "Before the Common Era" and AH = "Hegira Year."

2500 DCE	Origing of carlingt human	1071	Defect of the Pyrantine
3500 BCE	Origins of earliest human civilizations in the Middle	1071	Defeat of the Byzantine
	East.		Empire by Rum Seljuks at Manzikert.
c. 550–330 BCE	Achaemenid (Persian)	1095-1291	Era of the Christian
C. 330-330 DCE	Empire.	1093-1291	Crusades in the Eastern
356-323 BCE	Life of Alexander the Great.		Mediterranean.
224–651		1187	Salah al-Din (Saladin) al-
395–1453	Sassanian (Persian) Empire.	118/	
393-1433	Byzantine (East Roman)		Ayyubi defeated Crusader armies at Battle of Hattin.
570	Empire. Birth of Muhammad.	1243	
570 610		1243	Defeat of Rum Seljuks by
010	According to Muslim belief,	1250	Mongols at Kose Dag.
	angel Gabriel (Arabic:	1258	Mongol conquest of
	"Jibril") appeared to	1250 1517	Baghdad. Mamluk Sultanate.
	Muhammad and began to communicate revelations.	1250-1517	Osman I became the first
615	Group of Muhammad's	1299	
015	1		independent Ottoman ruler.
	followers took refuge in	1200 1022	
(10	Ethiopia.	1299-1923	Era of Ottoman rule.
619	Muhammad's wife Khadija	1380-1405	Conquests of Tamerlane.
	and his uncle Abu Talib	1389	Battle of Kosovo.
620	died. Muhammad own arian and	1453	Ottoman conquest of
620	Muhammad experienced	1501 1700	Constantinople.
(22	Isra and Miraj.	1501-1722	Safavid dynasty ruled Iran.
622	Hijra (Hegira) from Mecca	1514	Ottomans defeated Safavids
(2)	to Medina.	1500 15((	at Battle of Chaldiran.
624	Battle of Badr.	1520–1566	Reign of Ottoman Sultan
625	Battle of Uhud.		Suleyman I (the
628	Truce of Hudaybiya.	1500	Magnificent).
632	Death of Muhammad.	1529	First Ottoman siege of
632–661	Rightly-Guided (Arabic:	1 <b></b> 1	Vienna.
	"Rashidun") Caliphs.	1571	Battle of Lepanto.
661–750	Umayyad Caliphate.	1683	Second Ottoman siege of
680	Battle of Karbala.	1(00	Vienna.
711	Beginning of Muslim	1699	Treaty of Karlowitz.
	conquest of Spain.	1740	French King Louis XV
750–1258	Abbasid Caliphate.		recognized as protector
762	Founding of Baghdad.		of Ottoman Catholic
909–1171	Fatimid Dynasty ruled in	17/5 1010	subjects.
0/0	North Africa and Egypt.	1745–1818	First Saudi state in Nejd
969	Founding of Cairo.		(central Arabian
970–972	Founding of al-Azhar.		Peninsula).

(			- · · · · · · · · · · · · · · · · · · ·
1774	Treaty of Kuchuk Kaynarja.	1833	Convention of Kutahya.
	Russian Empress Catherine	1839	Muhammad Ali's son
	the Great acknowledged as		Ibrahim destroyed Ottoman
	protector of Ottoman		Army at Battle of Nezib
1701	Orthodox subjects.		(near Urfa in modern
1791	Russian Jews required to		Turkey).
	live in special area called	1839–1876	Tanzimat era of Ottoman
	the Pale of Settlement.		reform.
1794–1925	Qajar dynasty ruled Iran.	1839–1842	First Anglo–Afghan War.
1798	(July 2) French	1840	London Convention curbed
	expeditionary force led by		power of Muhammad Ali.
	Napoleon Bonaparte	1853-1856	Crimean War.
	occupied Alexandria,	1856–1857	Anglo-Persian War.
	Egypt.	1857–1858	Indian Mutiny.
	(July 21) Mamluk	1861	Serfs liberated in Russia.
	commander Murad Bey	1863	Semi-official Ottoman
	defeated by French at		government bank
	Battle of the Pyramids.		established.
	(July 24) Napoleon entered	1869	Suez Canal completed.
	Cairo.	1875	Ottoman fiscal and political
1804	Serbian nationalist uprising		crisis.
	led by Karageorge Petrovic	1876	(May) European bankers
	against Ottomans.		took control of Egyptian
1805–1849	Muhammad Ali ruled		finances.
	Egypt.		(December) First Ottoman
1811-1812	Muhammad Ali crushed		constitution proclaimed.
	Mamluk political power.	1877–1878	Russo–Turkish War.
1818	Ibrahim Pasha, son of	1878–1880	Second Anglo–Afghan War.
	Muhammad Ali, destroyed	1879	Persian Cossack Brigade
	Saudi home village of		established.
	Diriya in Nejd.	1881	(June) Muhammad Ahmad
1821–1832	Greek War of		proclaimed himself mahdi
	Independence.		in the Sudan.
1822	Muhammad Ali began		(December) European
	drafting peasants into new		bankers took control of
	army.		Ottoman finances.
1826	Mahmud II eliminated	1882	British occupation of Egypt.
	Ottoman Janissary corps.	1890	Monopoly on producing
1827	Modern medical school		and selling tobacco in Iran
	established near Cairo by		granted to an Englishman.
	French doctor Antoine-	1892	Popular demonstrations
	Barthélémy Clot (Clot Bey).		and religious opposition
	European fleet sank many		forced end of foreign
	Ottoman ships at Navarino		tobacco concession in Iran.
	on October 20.	1897	(April–September) Greco–
1828	Persian–Russian treaty of		Turkish War (last Ottoman
	Turkmenchay.		war victory).

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Chronology

	(August) World Zionist		Armenians in the Ottoman
	Organization founded by		army.
	Theodor Herzl.		(April) Allied landings at
1902	Beginning of modern Saudi		Gallipoli.
	state.		(May) Ottoman " <i>Tehjir</i>
1905	Beginning of Iranian		Kanunu" [Turkish:
-/ •/	Constitutional Revolution.		"Deportation Law"])
1907	Britain and Russia divided		issued, causing deportation
	Iran into spheres of		of hundreds of thousands
	influence.		of Armenians from
1908	(May) Oil discovered in		Anatolia to Syria and their
1/00	southwestern Iran (first		deaths.
	find in central Middle East).		(July) Hussein–McMahon
	(July) Young Turk		Correspondence began.
	Revolution in the Ottoman	1916	(May) Sykes–Picot
	Empire. Reinstatement of	1/10	Agreement.
	1876 Ottoman		(June) Beginning of Arab
	Constitution.		Revolt of 1916.
1911–1912	Italo–Turkish War.	1917	Balfour Declaration issued.
1912–1913	First Balkan War.	1918	Ottomans signed armistice
1913	(January) CUP veiled coup	-/	with Allies on a ship in
	in the Ottoman Empire.		Mudros harbor in the
	(June) First Arab Congress		Aegean Sea.
	held in Paris.	1919	Treaty of Versailles signed.
	(June-September) Second	1919–1923	Turkish War of
	Balkan War.		Independence.
1914–1918	First World War.	1920	(April) San Remo
1914	(June 28) Assassination of		Agreement on Mandate
	Austrian heir Archduke		System.
	Franz Ferdinand in		(June) Beginning of armed
	Sarajevo, Bosnia-		uprising against British
	Herzegovina (outbreak of		rule in Iraq.
	World War I).		(July) French defeated
	(August 2) Secret		Faisal, independent
	Ottoman–German pact.		Hashemite ruler of Syria, at
	(October 29) Ottoman		Battle of Maysalun, and
	ships shelled Russian Black		established control over
	Sea ports, causing Russia		Syria.
	and Britain to declare war		(August) Treaty of Sèvres
	on Ottomans.		signed, establishing
	(October 31) Ottoman		British mandate of
	government ordered all		Mesopotamia (renamed
	men of military age to		"Iraq" in 1921).
	report for duty.	1921	(April) Establishment of the
1914–1915	Battle of Sarikamish.		Emirate of Transjordan as
1915	(February) Enver Pasha		autonomous under British
	issued an order to disarm		protection.
			-

1922 1923	(August) Establishment of the Hashemite Kingdom of Iraq under Faisal I. Britain decreed Egypt to be an independent country. (January) Greek–Turkish population exchange. (July) Treaty of Lausanne signed.	1946	(January–December) Kurdish Republic of Mahabad in Iranian Azerbaijan. (March) Greek civil war began. (May) Independent Hashemite Kingdom of Jordan established.
1925	(September) British mandate of Palestine and French mandate of Syria recognized. (October) Republic of Turkey established. Reza Khan became Reza	1947	(July) Strategic cooperation agreement between United States and Turkey. King David Hotel bombing in Jerusalem. (November) UN partition plan for Palestine.
1/2/	Shah Pahlavi of Iran.	1948–1949	Israeli War of
1927	Oil discovered in Iraq.		Independence
1929	Western Wall incident and		(First Arab–Israeli War).
	riots in Palestine.	1950-1953	Turkish troops served in
1932	Iraq given independence		Korea under UN command.
	by Britain.	1951	Turkey became NATO
1936	Lebanon and Syria to be		member.
	given independence by	1952	Free Officers' Movement in
	France, but treaties not		Egypt overthrew King
	ratified.		Farouk and proclaimed a
1939	British White Paper on		republic.
	Palestine issued.	1953	(August) Operation Ajax
1939–1945	Second World War.		(secret British–U.S.
1941	(June–July) Operation		operation to overthrow
	Exporter (Allied invasion of		Iranian Prime Minster
	Syria and Lebanon).		Mosaddeq).
	(August) British–Russian		(November) Death of Abd
	invasion of Iran.		al-Aziz, founding ruler of
	Deposition of Reza Shah	1055	modern Saudi Arabia.
	and accession of	1955	(February) Creation of
	Mohammad Reza Pahlavi		Baghdad Pact (also known
10/2	to Iranian throne. First and Second Battles of		as "Central Treaty
1942	El Alamein between		Organization" or CENTO). (September) Nasser signed
	Germany and Allied forces.		major arms agreement with
1943	Lebanon given		Czechoslovakia.
1/1J	independence by France.	1956	(June) Gamal Abdel Nasser
1945	Syrian given independence	-//~	became president of Egypt.
	by France. Creation of Arab		(July) Nasser nationalized
	League.		Suez Canal.
	~		

	(October) Suez Crisis,		(June 5) Israeli jets
	resulting in Second Arab-		attacked Arab airfields. By
	Israeli War.		end of June 6, Israel wiped
1958	Military coup in Iraq,		out most of the Egyptian,
	ending Hashemite		Jordanian, Syrian, and Iraqi
	monarchy.		air forces.
1958-1961	United Arab Republic,		(June 7) Israeli General
, , ,	uniting Syria and Egypt.		Dayan authorized troops to
1959	Iran–U.S. defense		occupy Jerusalem's Old
	agreement.		City.
1960	(May) Army staged		(June 8) Israel secured
	nonviolent coup in Turkey.		control of Sinai Peninsula.
	(August) Cyprus given		American reconnaissance
	independence as a		ship USS <i>Liberty</i> attacked
	republic.		by Israeli units.
	(September) Establishment		(June 9) Syria accepted
	of OPEC (Organization of		ceasefire at 3 a.m. General
	Petroleum Exporting		Dayan ordered his troops
	Countries).		at 7 a.m. to begin land
1962	Outbreak of civil war in		invasion of Syria. By
1/01	North Yemen.		nightfall, Israeli forces
1963	White Revolution in Iran.		secured Golan Heights.
1964	(May) Palestinian National		(June 11) Lasting ceasefire
1/01	Charter established		arranged to end fighting.
	Palestine Liberation		(June 27) Israel
	Organization (PLO).		incorporated East
	(October) Status of Forces		Jerusalem and adjacent
	Bill gave immunity to U.S.		areas of the West Bank
	military serving in Iran and		into Jerusalem's municipal
	their families.		boundaries.
1967	Family Protection Law		(August) Six-Day War
2707	reformed marriage,		forced Egypt to end its
	divorce, and family law in		involvement in Yemen.
	Iran.		(August 29) Khartoum
	Six-Day War (Third Arab–		Summit.
	Israeli War):	1969	Lebanon signed
	(April) Major aerial battle	1/0/	Cairo Agreement
	over Golan Heights		permitting PLO to
	between Syria and Israel.		launch attacks from
	(May 18) Egypt asked		Lebanese soil.
	United Nations Emergency	1970	Death of Gamal Abdel
	Force (UNEF) to leave Sinai		Nasser. Anwar Sadat
	and closed straits of Tiran		became Egypt's leader.
	to Israeli traffic.	1971	(February) Hafez al-Assad
	(May 30) King Hussein	- / / -	became Syria's leader.
	signed surprise mutual		(August) Bahrain became
	defense treaty with Egypt.		independent from Britain.

	(October) Celebration of		(August) CIA issued report
	2,500 years of monarchy in		stating that Iran was not on
	Iran.		verge of revolution.
	(December) United Arab		(September 8) Thousands
	Emirates formed.		of protestors demonstrated
1973	(October 6) Syria and Egypt		in Tehran.
19/3			(October) General strike
	launched surprise war		
	against Israel on Jewish		shut down Iran's economy.
	holy day of Yom Kippur.		Ayatollah Khomeini exiled
	(Fourth Arab–Israeli War).		from Iraq to France.
	(October 16) Huge oil	1979	(January 16) Mohammad
	price increase.		Reza Shah Pahlavi left Iran.
1974	(July 15) Cyprus President		(February 1) Ayatollah
	Makarios deposed.		Khomeini returned to Iran.
	(July 20) Turkish troops		(March 26) Israel-Egypt
	landed on Cyprus to		Peace Treaty signed.
	protect Turkish Cypriots.		(October) Shah of Iran
1975	(February) Turkish		allowed to enter United
	Republic of Northern		States for medical
	Cyprus established.		treatment.
	(December) Black Saturday		(November 4) U.S.
	in Beirut began first round		Embassy in Tehran seized
	of Lebanese Civil War.		and its diplomats taken
1976	(June) Syria intervened in		hostage.
1770	the Lebanese Civil War,		(November 20) Saudi
	keeping troops there until		dissidents seized Mecca's
	2005.		Grand Mosque. November
	(October) Ceasefire		20 was also the first day of
	declared to be "end" of		
			1400 A.H.: beginning of the
1077	Lebanese Civil War.		Islamic fifteenth century.
1977	(March) Lebanese leader		(December 24) First Soviet
	Kemal Jumblatt		troops entered
	assassinated in attack		Afghanistan.
	linked to Syria.	1980	(September 12) Military
	(November) Surprise visit		coup in Turkey.
	of Sadat to Israel began		(September 22) Saddam
	process leading to Camp		Hussein invaded Iran.
	David peace negotiations.	1981	(January 20) U.S. hostages
1978	(January 8) Massacre of		in Iran released.
	protestors in Qom, Iran, led		(October 6) Egyptian
	to cycle of demonstrations		President Anwar Sadat
	in Iran every forty days.		assassinated by Islamic
	(March) Operation Litani		militants.
	resulted in Israeli	1982	(February) Massacre of
	occupation of southern	-,	Muslim activists in Hama,
	Lebanon.		Syria.
	Lebanon.		Gyma.

	(June-August) Lebanon	1991	(January 17) Start of the
	War conducted by Israel.		military campaign in
1983	(April) U.S. embassy in		Operation Desert Storm to
	Beirut hit by suicide		remove Iraq from Kuwait.
	bomber.		(February 28) U.S.
	(October) Suicide		President Bush announced
	bombings against U.S. and		ceasefire in Operation
	French troops in Lebanon		Desert Storm, declaring
	killed 241 American and 58		Kuwait liberated.
	French soldiers.		(March) Operation Provide
	(December) PLO moved		Comfort established no-fly
	headquarters to Tunis,		zone over northern Iraq.
	Tunisia.	1992	Operation Southern Watch
1984–1887	Tanker war in Persian Gulf.	1992	established no-fly zone
1984-1887			-
1964	(February) Multinational Force left Lebanon.	1002	over southern Iraq.
		1993	(April) First Hamas suicide
	(August) Beginning of		bombing attack in West
1005	Kurdish unrest in Turkey.		Bank.
1985	Lebanese Hezbollah		(September 13) Oslo I
	formally declared its		Accord signed between
	mission.		Israel and the PLO.
1987	(May) Iraqi missile attack	1994	Israel–Jordan peace treaty.
	on USS Stark.	1995	(September 24–28) Oslo II
	(July) UN Resolution 598 to		Accord signed between
	end Iran–Iraq War.		Israel and the PLO.
	Ceasefire took effect in July		(November 4) Israeli Prime
	1988.		Minister Yitzhak Rabin
	(December) First		shot in Tel Aviv.
	Palestinian Intifada began.	1998	(February) Osama bin
1988	(July) USS Vincennes shot		Laden issued fatwa against
	down Iranian civilian		United States and allies.
	airliner.		(August) Al-Qaeda
	(November) Palestinian		bombings of U.S.
	"Declaration of		embassies in Kenya and
	Independence" issued.		Tanzania resulted in deaths
1989	(February 14) Ayatollah		of over 300 people.
	Khomeini issued fatwa	1999	Turkey became candidate
	calling for death of British		for full membership of the
	author Salman Rushdie.		European Union.
	(February 15) Last Soviet	2000	(May) Israeli withdrawal
	troops left Afghanistan.		from southern Lebanon.
	(June) Death of Ayatollah		(October) Attack on U.S.S.
	Khomeini; Ali Khamenei		<i>Cole</i> in Yemen.
	chosen as new Supreme		(July) Camp David Summit.
	Leader.		(September) Visit of Ariel
1990	Iraq invaded Kuwait.		Sharon to Temple Mount;
-//0	may maaca mawan.		onation to rempte mount,

	Beginning of al-Aqsa		(November) Israel placed
	Intifada.		blockade on Gaza that
2001	(September 9)		continued intermittently
	Assassination of Afghan		over the next three years.
	warlord Ahmad Shah		(December) U.SIraq
	Massoud.		Status of Forces Agreement
	(September 11) Terrorist		approved.
	acts carried out in New	2009	(January) Israeli army
	York and Washington by		invaded Gaza Strip for three
	al-Qaeda teams.		weeks.
	(October 7) Beginning of	2010	(May) Israel boarded
	Operation Enduring		and seized six ships of
	Freedom (Invasion of		"Gaza Freedom Flotilla"
	Afghanistan by United		coming from Turkey.
	States and allies).		Incident worsened
2002	First UN Arab Human		historically good Israeli–
	Development Report issued.		Turkish relations.
2003	Beginning of Operation		(December) Political
	Iraqi Freedom (Invasion of		suicide of street vendor in
	Iraq by United States and its		Tunisia led to political
	allies).		upheaval, which spread to
2004	Death of Yasser Arafat.		numerous other Arab
2005	Israel removed its troops		countries in 2011.
	and settlers from Gaza	2011	(February) Egyptian
	Strip.		President Hosni
2006	(January) Hamas won large		Mubarak forced to leave
	plurality in first Palestinian		office.
	Legislative Council		(May) United States hunted
	elections since 1996.		down and killed Osama
	(March 2006–June 2007)		Bin Laden in Abbottabad,
	Conflict between Fatah and		Pakistan.
	Hamas.		(July) Beginning of the first
	(May) First government of		phase of the Syrian Civil
	Iraq under new		War
	constitution took office.		(October) Libyan leader
	(June–July) Operation		Muammar Qaddafi killed in
	"Summer Rains" (Israeli		his hometown of Sirt,
	incursion into Gaza).		Libya.
	(July–August) War between		(December) Formal end of
	Hezbollah and Israel.		"Operation Iraqi Freedom"
	(November) Operation		in Iraq
	"Autumn Clouds" (Israeli	2012	(June) Muhammad Mursi
	incursion into Gaza).		elected president of Egypt.
2008	(February–June) Members	2013	(May–August) Gezi Park
	of Hamas and other Gaza		protests in Istanbul.
	militants shot Qassam		(June) Hassan Rouhani
	rockets into Israel.		elected president of Iran.

	(July) Military coup in	2016	(July) Attempted military
	Egypt overthrew Muhammad Mursi, who		coup in Turkey blamed on followers of Fethullah
	was replaced by General		Gulen.
	Abdel Fattah al-Sisi as	2017	(June) Muhammad bin
	president.		Salman became crown
2014	(June) ISIL's leader Abu		prince of Saudi Arabia.
	Bakr al-Baghdadi		Beginning of diplomatic
	proclaimed "caliphate" in		crisis between Qatar and
	Mosul.		other Persian Gulf Arab
	(December) Formal end of		states.
	"Operation Enduring		(November) Demise of ISIL
	Freedom" in Afghanistan		as a political entity.
2015	(January) Salman became	2018	(January) Beginning of
	king of Saudi Arabia		Turkish economic crisis.
	(July) Joint Comprehensive		(May) U.S. withdrew from
	Plan of Action (JCPOA)		the JCPOA
	nuclear agreement signed		(June) Rejep Tayyip
	between Iran, the U.S.,		Erdogan re-elected
	Russia, China, the U.K.,		Turkey's president with
	France, Germany, and the		greatly expanded executive
	E.U.		powers.

## **NOTE ON TRANSLITERATION**

Except for names that have standard recognized spellings in English, all Arabic, Persian, and Turkish names are transliterated generally according to the IJMES style, except that cedillas, diacritical marks, and final "h"s are omitted. Turkish "c" is transliterated as "j." The Glossary indicates common variant spellings of names.

1

## The Middle East in Early Islamic History

#### INTRODUCTIONS

#### **Civilization in the Middle East**

To set the stage for discussion of modern developments, it is helpful to begin with an overview of the region's deeper historical contexts. The origins of civilization in the Middle East can be found in Mesopotamia and the Nile River valley in Egypt around 3500 BCE. Mesopotamia (located in modern Iraq) was the center of a series of the earliest civilizations in human history. Possibilities for irrigated cultivation near the confluence of the Tigris and Euphrates rivers in Mesopotamia paralleled the agricultural potential of the steady flow of the Nile River in Egypt. The regular and constant water supply available in both places became the key ingredient for civilizations to flourish. From such beginnings, civilization spread along the shores of the Mediterranean and inland throughout the arable parts of the Middle East.

#### **Sassanian Empire**

By the sixth century BCE, the **Achaemenid Empire** controlled a large part of the modern Middle East, with its center in Mesopotamia and Persia. It was followed by several other empires that dominated a similar region, most recent of which before the rise of **Islam** was the **Sassanian Empire**. It arose in the second century CE and ruled over much of the eastern Middle East until defeated by the Muslim conquerors of Persia in the seventh century CE. The Sassanian state religion was **Zoroastrianism**, a dualistic monotheism with origins in the teachings of the prophet Zoroaster, who lived in Iran probably before the sixth century BCE. It focuses on the eternal struggle of good and evil, using water and fire as cleansing agents for ritual purification.

Another very important aspect of the Sassanian Empire was its use of elements of Greek and Hellenistic culture spread across Asia by Alexander the Great and his successors between the fourth and first centuries BCE. Nowhere is this seen more clearly than in the scientific and academic center of Gundeshapur. Located in modern southwestern Iran, Gundeshapur was founded by the Sassanians in the third century CE. It was described as a place where Iranian, Greek, Arab, Indian, and Roman scientists were encouraged to visit, do research, and exchange ideas. There, translations of many ancient scientific works were made into Pahlavi, a written form of the Persian language used by the Sassanian rulers.

#### **Byzantine Empire**

By the end of the first century BCE, Rome had taken control of all the coastal areas of the

Eastern Mediterranean, including much of the western Middle East. Under Roman rule, this area became closely linked with Europe and North Africa in a single political and economic unit. Even areas not directly annexed became strongly influenced by the Roman Empire, the most powerful political and cultural entity in this region for centuries. It used two official languages, Greek and Latin, with Greek predominating in its eastern domains. When the Roman capital was transferred from Rome to Constantinople, founded in 330 CE on the site of the ancient city of Byzantium, the influence of Greek culture and language became gradually stronger. After the Roman Empire split into eastern and western halves late in the fourth century CE, the Eastern Roman Empire, now governed from Constantinople, developed into the Byzantine Empire. Over many decades in the sixth and seventh centuries CE, it continually fought the Sassanian Empire for control of the Middle East.

By the fourth century CE, Christianity had become the dominant religion in the Roman-ruled Middle East. From this time on, the state religion of the Byzantine Empire evolved into Orthodox Christianity. Christianity had emerged as an independent religion out of the complex milieu of first-century CE Jewish Palestine. As Roman imperial rule over Palestine was being consolidated at that time, Jews, as well as early Christians, were continually challenged by Roman authority at first because they could not recognize Roman pagan gods. By the Byzantine era several hundred years later, Christianity had become the official imperial Roman faith. The Byzantine emperor was also head of the church, joining secular and religious authority in a relationship sometimes called "caesaropapism."

#### **MUHAMMAD IBN ABDULLAH**

#### **The Arabian Context**

On the edges of the **Sassanian** and **Byzantine empires**, the Arabian Peninsula was an important trade conduit for both. Merchants from its cities did business with traders from many places, exporting and importing spices as well as other valuable commodities. Cities in the peninsula were also good places to bargain for goods coming from Africa and for slaves being imported from there. One of the main trading cities in the western part of the peninsula known as the Hejaz was **Mecca**.

According to Muslim tradition, Mecca's history can be traced to **Abraham** (called in Arabic "Ibrahim"). He was believed to have built the **Kaaba** (a black stone structure surrounding a meteorite located in the center of Mecca) in ancient times helped by his eldest son Ishmael (corresponding to the Biblical Ishmael and called in Arabic "Ismail"), when the inhabitants of the place then called "Bakka" had fallen away from Abraham's belief in one god. Apart from this tradition, little is known about the Kaaba before the 400s CE.

By Muhammad's time, the Kaaba served as a place to worship deities revered by various Arab tribes. Its key god was Hubal, venerated there by the Quraysh tribe that had ruled Mecca for two centuries by the early 600s CE. The Quraysh made their money as merchants and traders as Mecca prospered under their rule. Arabia's stark terrain meant that life there remained a constant struggle. Bedouin tribes engaged in continual low-level conflicts with each other. Each year, there was a temporary truce and pilgrimage to Mecca to pay homage to tribal gods and drink from the sacred well of Zamzam. The pilgrimage was also an occasion for major tribal disputes to be mediated, debts to be paid, and much trade to occur.

#### Muhammad's Life

**Muhammad** was born into the **Banu Hashim**, an important subgroup of the Quraysh, in 570 CE. His father died before he was born, and his mother passed away when he was a young child, so he was raised in the household of his uncle Abu Talib, leader of the Banu Hashim. After he grew up, Muhammad became a merchant and at age 25 married Khadija, a widow 15 years older than him. He was married to Khadija for 25 years and took no more wives while she was alive.

Muhammad had several daughters and sons by Khadija, but the only one recognized by all Muslims to have survived him was his daughter **Fatima**. Descendants of Muhammad through her are given the honorific titles *sharif* ("noble") or *sayyid* ("lord"). As Muhammad's only universally recognized descendants, they are respected by both **Sunni** and **Shii** Muslims, but Shii Muslims value this lineage connection more highly.

#### Revelation

Muhammad would retire to a cave near Mecca by himself to meditate for several weeks each year. The Muslim belief is that in the year 610 CE, during one of these sessions, the angel Gabriel (called in Arabic "Jibril") appeared and commanded Muhammad to recite the following:

> Recite, in the name of your Lord who created man from a (mere) clot of congealed blood. Recite, and your Lord is the Most Generous. He, who taught [use of] the pen, taught man what he did not know. (Quran 96:1–5)

He received no more revelations for three years, but then they resumed. After Muhammad's death, his revelations were compiled into the **Quran**, the foundational text of Islamic scripture. Quranic verses revealed to Muhammad when he was still in Mecca focused on man's responsibility to believe in one god, the resurrection of the dead, the final judgment followed by heaven and hell, and signs of God's presence in daily life.

Muhammad's wife **Khadija** was the first to recognize him as a true prophet. She was followed in this belief by his cousin **Ali** and then by Muhammad's close friend **Abu Bakr**. When Muhammad preached in public about what had been revealed to him, he was ignored or ridiculed by most of his fellow Meccans, although a few became his followers. They became known as "**Muslims**" or followers of "**Islam**." "Islam" can be defined as "submitting to God's message as communicated to Muhammad." Muhammad made some people angry when he recited verses condemning idol worship and polytheism.

His monotheistic message threatened Mecca's city fathers, in particular those from his own Quraysh tribe. They were guardians of the Kaaba, focal point of Mecca's polytheistic worship. It functioned as the center for pilgrimages upon which much trading wealth was based. A group of the city's merchants offered to arrange a choice marriage for Muhammad, bringing him into the elite if only he would stop preaching, but he refused.

#### EARLY MUSLIM COMMUNITY

#### **Muslims under Pressure**

In 615 CE, some of Muhammad's followers took refuge in Ethiopia and two years later, leaders of other Quraysh clans declared a boycott against the Banu Hashim clan until it withdrew protection from him. Although this ended when the Banu Hashim refused to disown Muhammad, he continued to be a liability for his tribal group. Two more blows came in 619 (known later as the "Year of Sorrow"), when his wife Khadija and his uncle **Abu Talib** died, leaving him without financial or family support.

Islamic tradition records that just when his personal situation had reached this low point, Muhammad experienced in 620 the *Isra* and *Miraj*: two parts of a miraculous one-night journey. In the *Isra*, he was carried on a mystical winged horse ("Buraq") from Mecca to "the farthest mosque" (Arabic: "*al-masjid al-aqsa*"), later identified by Muslims with the al-Aqsa **Mosque** in Jerusalem. In the *Miraj*, Muhammad was reported to have been taken on a tour of heaven and hell, and spoken with earlier prophets such as Abraham, Moses, and Jesus. Soon after this, a delegation from **Yathrib** (a town later called "**Medina**" located 200 miles north of Mecca) came to Muhammad and asked him to mediate between opposing tribes who had been at war there for years.

#### Hijra (Hegira)

Sensing a good opportunity to leave Mecca, Muhammad told his followers in 622 to go to Yathrib, which they did. When he learned of a plot against him, Muhammad slipped away with Abu Bakr and arrived in Yathrib himself soon after them. His Meccan followers who went there became known as the *mubajirun* (emigrants) or "those who made the *bijra*." The importance of the *bijra* (hegira) in Islamic history is recognized by the fact that the Muslim calendar begins with the year when Muhammad and the *muhajirun* emigrants fled from Mecca.

Among the first things Muhammad did on arrival in Yathrib (soon renamed "Madinat al-Nabi" ["City of the Prophet" or simply "Medina"]) was to create a document later known as the "Constitution of Medina." It specified rights and duties for all of Medina's inhabitants and relationships between different groups there, including those between Muslims, Jews, and other People of the Book. The term "People of the Book" refers to other monotheists such as Jews and Christians, whom Muslims accepted as legitimate believers in God. The Constitution of Medina defined the community as the *umma*, an Arabic word that came to be used to describe the community of all Muslims in the world.

Sections of the Quran revealed at Medina focused on the creation of a Muslim community: a task that defined the Medinan period of Muhammad's leadership. Muslim converts from among Medina's natives became known as *ansar* ("helpers"), because they helped Muslim emigrants from Mecca find a home there. To transcend family loyalties and promote Muslim unity, Muhammad had his close companions among the "emigrants" and "helpers" choose spiritual brothers, with Muhammad himself choosing his son-in-law Ali as his own spiritual brother.

#### **Meccans against Muslims**

After the hegira, Mecca's leaders confiscated the properties of those "emigrants" who had fled with Muhammad to **Medina**. These emigrants, in turn, began raiding Meccan caravans: acts legitimized by Quranic revelations Muhammad continued to receive. While the attacks interfered with Mecca's trade, they provided needed wealth for the Muslims. Hostilities culminated in the March 624 **Battle of Badr**: a significant victory for Muslim forces against great odds. Muhammad and his followers saw this success as a confirmation of divine support, which strengthened the Prophet's position in Medina.

The Meccan defeat at Badr committed them to go after Muhammad to regain prestige. When the two forces met again at the mountain of Uhud in 625, the Meccans did win a modest victory but were unable to crush the Muslim forces totally. New Quranic verses revealed to Muhammad that this defeat was partly punishment for disobedience to God and partly a test of Muslim determination. Mecca's chief **Abu Sufyan** then assembled a large force to attack Medina directly and get rid of the Muslims once and for all.

Despite diplomatic efforts, Muhammad failed to prevent the formation of a tribal confederation against him. When the Meccans arrived at Medina with 10,000 men against the 3,000 Muslims there and began a siege, Muhammad tried a new tactic. Aided by a Persian convert to Islam with some engineering skills, Muslims dug a trench to supplement Medina's natural fortifications against a cavalry attack. The Meccans were stymied by this new ditch and abandoned their siege after a few days.

Muhammad received Quranic verses calling for the **hajj** pilgrimage to be made to Mecca, but Muslims had not been able to perform it due to the existing state of hostilities. In 628, Muhammad ordered preparations to be made for a pilgrimage to Mecca despite this situation, saying that God had promised him that this goal would be fulfilled. Another military confrontation almost took place, but Muhammad and the Meccans finally signed the Truce of Hudaybiya. This agreement ended hostilities between Mecca and the Muslims. Soon after this, the first pilgrimage, not a full hajj pilgrimage but the lesser version of the pilgrimage called an "*umra*," took place in 629.

#### **Muhammad's Last Years**

After this truce had lasted two years, a proxy war between the allies of the Meccans and Muslims erupted with a battle between the Banu Khuzaa, a group loyal to Muhammad, and the Banu Bakr, with close ties to Mecca. After this skirmish, Muhammad sent a message to Mecca that either Meccans should pay blood money for the dead members of the Khuzaa tribe and end their alliance with the Banu Bakr or the truce of Hudaybiya would be canceled.

The Meccans mistakenly chose to end the truce. In 630, Muhammad attacked and easily conquered Mecca. After he arrived there, he declared forgiveness for its inhabitants' transgressions against him. At that point, most Meccans became Muslims and statues of Arabian tribal gods near the Kaaba were destroyed. Muhammad's return to Mecca allowed him to complete the first full hajj pilgrimage. After performing this, he delivered his "Farewell Sermon." It proclaimed an end to existing tribal feuds upon the creation of this new Islamic *umma* (community). Muhammad died in 632 in Medina, where he was buried.

#### EARLY ISLAMIC EXPANSION

#### **Building a Muslim Domain**

There was considerable debate about who should succeed Muhammad as the *umma's* leader. **Abu Bakr** (r. 632–634 CE) was fairly quickly recognized as a consensus candidate to become the first **caliph** ("successor") of Muhammad. His first task was to combat a wave of false prophets and apostates who had arisen after Muhammad's demise. Once such rebellions had been quelled, Abu Bakr embarked on new campaigns of conquest to bring more lands and peoples under Islamic control. He began with Mesopotamia (modern Iraq), richest province of the Sassanian Empire, and continued on to Roman Syria, a prosperous area of the Byzantine Empire. Already an elderly man when he assumed power, Abu Bakr died within two years of taking office.

His designated successor Umar (r. 634-644 CE) was a talented military commander who led Muslim forces into Iran and Egypt. Since lengthy recent wars between the Byzantines and Sassanians had left both empires exhausted, Islamic armies were able to bring all of Mesopotamia, Syria, Palestine, Egypt, and the whole Persian Empire under Muslim control by 643 CE. Umar's administrative skills matched his military talents. He was renowned for a strict approach to governing, but recognized for his sense of justice and fairness. He paid with his life for Muslim success under his rule when he was assassinated by a Persian slave, but just before his death in 644, Umar appointed a committee of six Meccan "emigrants" (but no Medinan "helpers") to select the next caliph.

The committee's choice was **Uthman** (r. 644–656 CE): a Meccan with a reputation for being very practical. The Medinan "helpers" were displeased that the Prophet's cousin and son-in-law Ali had not been chosen instead. Uthman's first years as caliph, however, went smoothly. He continued Islamic conquests, securing North Africa from the Byzantines. His most enduring achievement was to oversee the production of a formally compiled written text of the Quran. Toward the end of his reign, his government had financial troubles, setting off a revolt against him in Medina. Rebels attacked Uthman's house and killed him while he was reading the Quran.

After Uthman's demise, leaders of the *umma* selected Ali (r. 656–661 CE) to succeed him. Ali dismissed several provincial governors with close ties to Uthman, installing his own trusted aides. He also moved the Muslim capital

from Medina to Kufa, a recently established Muslim garrison town in southern Iraq. These changes were not well received in Syria, then being administered by Muawiya, one of Uthman's close kinsmen. These tensions led to the first Muslim civil war, which continued through the brief caliphate of Ali.

Ali fought off numerous challenges to his rule. First, at the "Battle of the Camel," Ali's forces confronted a large army of Muslims from Medina led by two of Muhammad's companions. They were joined by Muhammad's widow Aisha, who sought to avenge Uthman's death. Soon after this, Uthman's relative Muawiya fought Ali at the Battle of Siffin. Although Siffin ended in a stalemate, Ali was killed in 661 by the distraught relative of one of his own mutinous soldiers.

Ali's eldest son (Muhammad's grandson) Hasan then served very briefly as caliph. Hasan ultimately agreed to retire to Medina, allowing Muawiya to replace him when he found that he could not secure power. Hostilities ended upon Muawiya's assumption of the caliphate, an event later considered the beginning of the Umayyad caliphal dynasty. The period of struggle during Ali's caliphate is often called the **first** *fitna* (disturbance), a time of conflict that ended the early unity of the Islamic *umma*. Civil conflict between Muslim factions slowed the process of expansion for a number of years, giving the Byzantine Empire time to recover.

#### **Muslims and Those They Conquered**

One important aspect of these conquests can be found in the Muslim treatment of non-Muslims in the lands they occupied. All who believed in one God such as Jews, Christians, and Zoroastrians were known as "People of the Book" and given *dhimmi* ("protected") status under Islamic law. Those who converted to Islam were treated exactly like other Muslims, enjoying all the same legal rights, but Islamic law prohibited forced conversions.

Those who chose not to convert were allowed to continue their religious practices as

before, and their communities were permitted to retain a certain amount of legal, political, and social autonomy. Their only formal requirements were to pay the *jizya* (an annual tax) and to conduct their religious activities in an inconspicuous manner. One factor that may have aided early Muslim conquests were the doctrinal differences between Christians in different regions of the Byzantine Empire. There were almost no objections recorded by contemporary Egyptian Christian chroniclers to the rule of the early Muslim conquerors, in contrast to the numerous, angry complaints about the rule by fellow Christians who disagreed with them on theological questions.<sup>1</sup> This suggests that in some cases, it may have been easier for Christians to be ruled by Muslims than by fellow Christians with whom they had serious doctrinal disagreements.

#### THE UMAYYAD CALIPHATE

#### Arab Exclusivity

The first four caliphs were remembered in Islamic history as the "Rightly-Guided" (Arabic: Rashidun) caliphs, leading the umma when it was still relatively cohesive and united. They were followed by the Umayyad caliphs, who ruled the Islamic umma between 661 and 750 with their capital at Damascus. After the last Umayyad ruler was overthrown by the Abbasids in 750, the Umayyads relocated to al-Andalus (Muslim Spain), where they eventually established the caliphate of Cordoba in 929. The Umayyad period of Islamic history saw an immense and rapid territorial expansion, which created numerous administrative and cultural challenges. The Umayyads favored the old ruling Arab elite of Mecca, in particular their own clan, over new converts to Islam, called *mawali* ("clients").

The Umayyads can be credited with promoting Arabic as the official language of the Muslim empire. The Umayyad dynasty has been depicted as transforming the caliphate from a religious institution (as it had been under the first four Muslim rulers (the "Rightly-Guided" caliphs) into a dynastic, kingly one. This perspective does not take into account how Umayyad rulers saw themselves as upholders and defenders of Islamic law, as presented by the word of God found in the Quran and **hadith** accounts (reports of Muhammad's deeds and sayings). In fact, it was during the reign of the Umayyad caliph **Umar ibn Abd al-Aziz** (r. 717– 720) that the first collections of hadith accounts were assembled.

Modern Arab nationalists view the Umayyad period as an early part of the Arab Golden Age that they want to emulate and revive. This is particularly true in Syria, with its capital, like that of the Umayyads, at Damascus. White, one of the four Pan-Arab colors (black, white, red, green) featured on many modern Arab flags, signifies the Umayyads.

#### Defining the Umma

Muawiya (r. 661-680) was the first Umayyad ruler (although Uthman belonged to the Umayyad family and thus technically was the first Umayyad caliph). Muawiya's reign was marked by internal consolidation and external expansion. He oversaw military advances across North Africa and into Central Asia. His eastern campaigns resulted in the Islamic conquest of Kabul, capital of modern Afghanistan, as well as Bukhara and Samarkand, two major cities now in modern Uzbekistan. Upon his death in 680, the office of caliph passed to his son, Yazid I. Many prominent Muslims, including Husayn ibn Ali (Muhammad's grandson and Ali's younger son), opposed Yazid's automatic inheritance of the caliphate from his father. This led to a conflict later called the "Second Fitna."

In October 680, Husayn was heading to Kufa to assemble an army to fight Yazid. On Yazid's orders, an Umayyad force intercepted him on his way there and killed him, along with his family members and companions, at what became known as the **Battle of Karbala**. This event, which took place on the tenth of Muharram, 61 AH [Muslim year] (= early October, 680 CE) is remembered as the day of **Ashura**: one of the primary commemorations of martyrdom among Shii Muslims. **Shiis** consider Husayn to be the Third **Imam**, following his brother Hasan (the Second Imam) and his father Ali (the First Imam).

For many years, revolts organized by loyalists of Ali's descendants continued to challenge the legitimacy of the Umayyad caliphs. To bolster their Islamic credentials, the Umayyad rulers had the **Dome of the Rock** built on the Temple Mount in Jerusalem over the very stone where Muslims believe Muhammad commenced his miraculous "Night Journey" to heaven. This coincides with the location considered by many scholars to be the center of the Jewish Second Temple.

The Umayyads continued to expand Islamic control of territory. An Umayyad force commanded by Tariq ibn Ziyad made a successful landing at Gibraltar (a Romanized version of the Arabic "*Jabal al-Tariq*" ["Tariq's Mountain"]) in April 711. This incursion marked the start of the Muslim conquest of the Iberian Peninsula. Much of what was later Spain and Portugal became known in Arabic as "*al-Andalus*," portions of which would remain under Muslim rule until 1492.

#### EARLY ABBASID CALIPHATE

#### **The Abbasid Revolution**

Within less than one century, the Umayyads were displaced by another group with Meccan roots: the Abbasids. They descended from Muhammad's youngest uncle Abbas, but the revolution ultimately bringing them to power in 750 originated in a series of attempts to install a member of Ali's family as caliph. They began with Muhammad ibn al-Hanafiya (637–700). He was not a son of Ali by Muhammad's daughter Fatima, but by a woman of the Banu Hanifa tribe.

Husayn's martyrdom at Karbala in 680 made Muhammad ibn al-Hanafiya the ostensible head of Ali's family, since he was Ali's eldest surviving son. Based on this status, one of Muhammad ibn al-Hanafiya's loyal followers raised a rebellion to make him caliph. Although this did not succeed, a story later circulated that Muhammad ibn al-Hanafiya's son Abu Hashim had named his own distant cousin Muhammad ibn Ali to be *bis* heir as he lay dying, keeping claims on the caliphate alive in this branch of Ali's family.

The son of Muhammad ibn Ali, **Abu al-Abbas Abdullah al-Saffah**, started asserting his right to become caliph in the 740s. To build his case, al-Saffah (who also happened to be descended from Muhammad's uncle Abbas) focused his activities on Khorasan. This was a region in northeastern Iran where many *mawali* (non-Arab Muslims) lived. These *mawali* resented the Umayyads, whom they perceived as prejudiced against non-Arab Muslims.

When Hisham, a successful Umayyad caliph who had stayed in power for two decades, died in 743, he was followed by a series of brief, unsuccessful rulers. Their unpopularity led the Abbasids to launch a popular uprising in Khorasan in 747 that quickly spread to Iraq. Al-Saffah, supported by loyalists to Ali's family, as well as non-Arab Muslim *mawali* and other dissidents, brought his forces to victory, ousting the last Umayyad caliph, Marwan II, in 750.

Loyalists to Ali and his family were now being labeled "Shiis," since they belonged to his faction (in Arabic, "*shia*" means "faction"). Many of these "Shiis" had backed al-Saffah, hoping that he might be the Mahdi or at least the Mahdi's precursor. **Mahdi** is an Arabic term that means "redeemer of mankind who will come to earth just before the Day of Judgment and end injustice and tyranny." Throughout Islamic history, different groups of Muslims have turned to charismatic leaders whom they identified as the Mahdi, acting on hopes of a messiah or deliverer shared by Muslims with Christians and Jews.

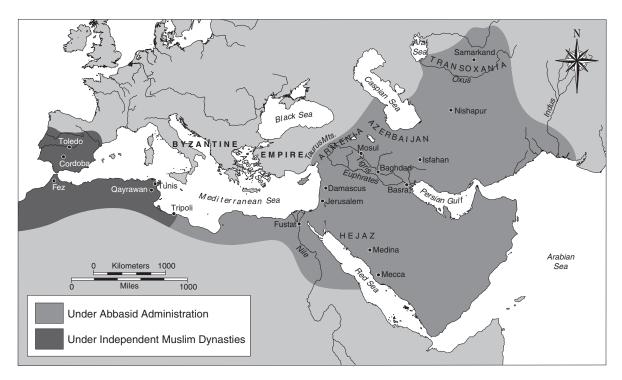
Al-Saffah soon disappointed this group. Just after he took power, he not only dismissed all remaining Umayyad governors and officials but turned as well against Shiis allied with him. When al-Saffah was proclaimed caliph at Kufa's Great Mosque in 749, Shiis felt betrayed when he did not step aside and allow the Sixth Imam, **Jafar al-Sadiq** (great-grandson of Third Imam Husayn), to rule.

Although only caliph for a brief time, al-Saffah strongly promoted education and increased international trade. Some of the first paper mills outside of the Far East were built in the Central Asian city of Samarkand (in modern Uzbekistan) during his reign. These facilities were reportedly established by Chinese prisoners captured at the Battle of Talas (in modern Kyrgyzstan) in 751. Al-Saffah encouraged non-Muslims and non-Arabs to join his army, choosing the talented Abu Muslim (an Iranian from Balkh [in modern Afghanistan]) to lead his troops. Although al-Saffah died only four years after deposing the Umayyads, his brother and successor al-Mansur continued his polices, consolidating Abbasid rule during his more than two decades in power.

#### **Establishing Abbasid Authority**

The Abbasid dynasty governed the Islamic world for just over five centuries (750–1258). Twelve years after they had taken power from the Umayyads, the Abbasids built a new capital city called "**Baghdad**" about 20 miles northwest of Ctesiphon, the former Sassanian capital. Just as the Umayyads drew much from Roman and Byzantine tradition, so the Abbasids, with Baghdad so near the old Sassanian capital, borrowed and adapted much from their Persian predecessors.

The Islamic world had become so vast that even after their first few years in power, the Abbasids had still not established themselves as rulers everywhere in it. There were constant rebellions in many areas of the Middle East, particularly when new caliphs took power. Although Shiis were briefly stymied by Abbasid rejection, they soon reemerged as dangerous and dedicated foes of the dynasty. The problem of pretenders from Ali's line seeking to rule resurfaced again and again in different forms.



MAP 1.1 Islamic World in the Ninth Century

When Sixth Imam Jafar al-Sadiq died in 765, Shiis split into two groups. One accepted Jafar's son Ismail as the authentic Seventh Imam. They became known as Ismailis, and adopted many of the ideas and doctrines of the vanquished Hanafiya movement (see p. 7). The other group recognized Musa al-Kazim, another of Jafar al-Sadiq's sons, as *their* Imam. Musa is still recognized as the Seventh Imam by Twelvers (Shiis who believe in Twelve Imams) today.

In this uncertain situation, Abbasid rulers began to trust only members of their close family. Even Abu Muslim himself, one of the early supporters of the Abbasid revolution, fell under suspicion. Like other revolutionaries in world history, the Abbasids soon found that the radical agendas of their anti-Umayyad movement no longer remained useful as they tried to manage a large, imperial government.

#### **Abbasids as Conservatives**

Beginning under Caliph al-Mansur (r. 754–775), the Abbasids began to emphasize governmental continuity and promoting orthodox religion above all. The official suppression of the more extremist elements of the Abbasid coalition caused a string of popular revolts in Iran. On the other hand, al-Mansur's more conservative approach reassured many people, which aided in the maturation of Abbasid institutions over the 21 years of his reign.

The Abbasid era also marked the real beginning of the institution of Islamic **viziers**: high-ranking and skilled political advisers to the ruler. The first viziers were all from a Persian family known as the Barmakids. They originated in Balkh (in modern Afghanistan) as a prominent family of landowning Buddhist priests before their conversion to Islam. Under the Abbasids, influences of the Persian tradition through